

Commonwealth of Massachusetts Executive Office of Health and Human Services Division of Medical Assistance

600 Washington Street Boston, MA 02111 www.mass.gov/dma

> Eligibility Operations Memo 02-06 February 20, 2002

TO: MassHealth Eligibility Operations Staff

FROM: Tom DeVouton, Director, MassHealth Enrollment Center Operations

RE: Automated Missing Critical Data File

Introduction

In July 1997, the Central Processing Unit (CPU) created a manual Missing Critical Data (MCD) File procedure because of the high number of **incomplete** Medical Benefit Requests (MBRs) that were submitted with missing critical information and could not be entered on MA21. The MCD File is the CPU's process for handling incomplete MBRs. *An MBR* is incomplete when it has missing critical information (data) that prevents it from being entered on MA21. Such critical information can be an applicant name, address, date of birth, gender, and relationship to the head of household.

Because MA21 did not generate Requests for Information (VC-1s) for missing critical information, CPU needed a manual process to notify applicants when their MBRs were incomplete. This manual process involved telephone calls to applicants, manual notices, daily tickler files, and PC-tracking of MBRs. The CPU's inability to enter incomplete MBRs on MA21 caused customer service problems for MassHealth Enrollment Center (MEC) staff and outreach staff. MEC staff had no way of knowing that an MBR was in the CPU's MCD File due to missing critical information. Unless MEC staff called the CPU to check on the status of the MBR, it was often assumed that the MBR was lost or had not yet been received by the CPU.

CPU staff requested the automation of the MCD File, and worked closely with MA21 systems staff in the development of the process. On February 20, 2002, the Division automated the CPU's manual MCD File process for handling incomplete MBRs and integrated this process into the MA21 eligibility system. This memo describes the new MCD File on-line application process.

New Automated MCD File Process

The new automated MCD File process allows the CPU to enter on-line partial information from an MBR that identifies it on the system as incomplete. The system then creates an on-line MCD/MBR record that tracks the incomplete MBR in its various stages at the CPU. The MCD/MBR record displays the missing critical information, and generates and displays the applicant notices and historical MCD information. A brief explanation of the automated MCD File on-line application process is provided below.

- The CPU receives an MBR that is incomplete. The CPU worker telephones the applicant to get the missing critical information. If the information is not received within 24 hours of the initial telephone call, the CPU is unable to enter the MBR on MA21.
- The EDP (entry data processor) worker enters specific incomplete MBR data into the MCD File on-line application system. *The system only needs partial MBR information to identify the MBR as incomplete, mail notices, and display missing critical information or answers to questions on-line.*
- The system creates a MCD/MBR record. It also generates and mails the first notice (MCD1) directly to the applicant and contact person (if applicable). This notice alerts the applicant that certain critical information is missing from the MBR. The system displays the notice and MCD1 date on-line.
- The original incomplete MBR is placed in the MCD File cabinet at the CPU.
- If within 14 calendar days of the date on the MCD1, the missing critical information is:
 - received at the CPU, the MBR is retrieved from the MCD
 File cabinet. The EDP worker manually closes the on-line
 MCD/MBR record with the "Received Information" status
 reason. This deactivates the MCD on-line application from
 the MA21 MCD browse query. The EDP worker now
 enters the complete MBR into MA21; or
 - 2. not received at the CPU, the system creates a second notice (MCD2). This notice is delivered to the CPU for attachment to the original MBR. The CPU mails the original MBR with the MCD2 to the applicant. A copy of the MCD2 is also mailed to the contact person (if applicable). The MCD/MBR record remains active to the MA21 browse query for up to six months for eligibility staff to view. A copy of the original MBR and notices is sent to the Central Filing Unit.

New Automated MCD File Process (cont.)

Please Note: If the missing information on an MBR is the applicant's name and/or address, and the applicant could not be contacted by telephone, the CPU may enter the incomplete MBR into the MCD File on-line application. The system stores the MCD data in a "Pending" status for up to six months. No notices are generated.

Improved Customer Service

MEC staff may access the MCD File information through the MassHealth Main Menu. This allows both MEC staff and outreach staff to view online incomplete MBRs held at the CPU to find out what critical information is missing from an MBR, and the status of the incomplete MBR. This access will also allow staff to assist customers in completing the application process in a more knowledgeable and efficient manner.

Attachments

Attached to this memo are the following two documents.

- A chart that provides guidelines for eligibility staff to follow when responding to applicant telephone calls about incomplete MBRs.
- Instructions for eligibility staff to follow when accessing information about incomplete MBRs from the MCD File on-line application screens.

Questions

Please direct any questions about the information in this memo to the MassHealth Policy Hotline through the designee at your enrollment center.

GUIDELINES FOR RESPONDING TO APPLICANT INQUIRIES ABOUT INCOMPLETE MBRS

If the status of the Incomplete MBR is	and the status reason is	then
Open	Initial Entry (MCD1 is scheduled to be sent)	Have the applicant call the CPU to provide the missing critical information.
Open	Awaiting Response (MCD1was sent)	Have the applicant call the CPU to provide the missing critical information.
Closed	No Response (MCD1 was sent and the MBR was returned to the applicant with the MCD2)	Advise the applicant to complete the returned MBR and send it to the CPU.
Closed	Withdrawn (MCD1 was sent)	Advise the applicant to submit a new MBR to the CPU. (Original withdrawn – MBR is on file at the Central Filing Unit)
Closed	Unable to Deliver (MCD1 was sent)	Advise the applicant to submit a new MBR to the CPU. (Original unable to deliver – MBR is on file at the Central Filing Unit)
Pending	Initial Entry (No MCD1 or MCD2 was sent due to head of household unknown name and/or address)	Have the applicant call the CPU to provide the missing critical information. (Original MBR is on file at the CPU for up to 6 months)

MA21 Missing Critical Data File

Missing Critical Data Inquiry

Workers now have the ability to find applicants whose MBRs are missing critical data and whose incomplete MBR information is located in the MA21 Missing Critical Data File. To search for an applicant whose MBR may be missing critical data, begin at the MA21 Main screen shown below.

```
Enter the SSN or Name for a Name Search
+----+ MassHealth +-----+
Date: Tue, Jan 08
                                              Location: 520
Time: 02:32:26 PM
                                             *Printer.: ZH07
User: DMAAV3
                                            Security: 004
                                             *Library.: MA21
    Donovan, Nancy
                          Test
                     SSN....: --- --
                    (Last Name, First Name)
                     Additional MA21 Options
Enter-PF1---PF3---PF4---PF5---PF6---PF7---PF8---PF9---PF10--PF11-PF12
     help rtrn quit match wlog msp
                                                      SSA main
```

There are three methods for searching for an applicant:

- 1. searching by SSN and/or;
- 2. searching by SSN and name; and
- 3. searching by name only.

These methods are described in the following sections.

Searching for an Applicant by SSN

If the applicant has provided an SSN, enter the SSN and press ENTER.

If the applicant's SSN is known to the MCD file, the User Options menu will display as follows.

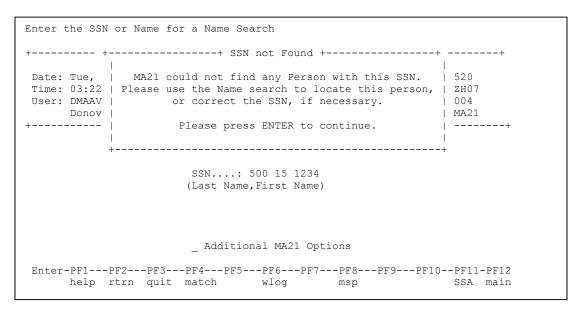
Missing Critical Data Inquiry

Searching for an Applicant by SSN (continued)

To display the MCD information, enter X to the left of the Missing Critical Data Query option and press ENTER. The Missing Critical Data, Incomplete MBR screen, shown below will be displayed. This screen is described in detail under the heading, "Incomplete MBR Screen," found later in this section.

No action performed MCDPD001 Jan 08,02	***** Missing Critical Data - Incomplete MBR -		MCDMD001 02:58 PM
Assign to: DMAAV	3 MEC: 520	Posted Date.:	01 08 2002
*Screener ID: DMAAV	3	Receive Date: Status Date.:	
Name: SMYTH Addr - Street: 123 W	EST ST	SSN:	500 55 1234
City: BOSTO Zip: 02111	N *St: MA Mail.: +	DOB:	
*Lang - Speak.: ENG	_	Phone - Home: Work:	
Contact Person	.: + * MCD Not		
Missing Critical Data	a: > 1st:	2nd:	
Enter-PF1PF2PF3- help retrn quit	PF4PF5PF6PF7PF8	PF9PF10-	-PF11PF12 main

If the applicant's SSN is NOT known to the MCD file, the MA21 Main screen will display the following message.



If the SSN cannot be found, it is necessary to search by name.

Searching for an Applicant by SSN and Name or by Name Only

Enter the applicant's last name(comma)first name and press ENTER. See the following.

Note: If using the applicant's SSN and name, the search will be based on the applicant's name.

```
Enter the SSN or Name for a Name Search
+----+ MassHealth +-----+
Date: Tue, Jan 08
Time: 02:45:50 PM
                                          *Printer.: ZH07
User: DMAAV3
                                          Security: 004
    Donovan, Nancy
                                          *Library.: MA21
+----- Test
                   SSN...:500 15 1234
                   (Last Name, First Name)
                   iones.horace
                    Additional MA21 Options
Enter-PF1---PF2---PF3---PF4---PF5---PF6---PF7---PF8---PF9---PF10--PF11-PF12
    help rtrn quit match wlog msp
```

When ENTER is pressed, the **first name browse** screen will be displayed:

- List Household Member By Name.
- If the household member is located on the first browse, the household member is known to MA21 as a member of a household.

If the household member is not located on this name browse, press PF2. The **second name browse** screen will be displayed:

- List Person By Name
- If the household member is located on this browse, the household member is known to MA21.

If the household member is not located on the second name browse, press PF2. The **third name browse** screen will be displayed:

- Browse Applications by Name.
- If the household member is located on this browse, the household member is known to MA21 as a Head of Household.

If the household member is not located on the third name browse screen, press PF2. The **fourth name browse** screen will be displayed:

- Browse MCD Applicants by Name.
- If this person is located on this name browse, he or she is known to the Missing Critical Data File.

The Browse MCD Applicants by Name consists of two screens. Note the highlighted line in the bottom section of the Browse MCD Applicants by Name screens that follow.

Browse MCD Applicants by Name Screen One

ın 9,02	- Browse MCI	Applic	ants by Name -		1 more >
Last Name	First Name	Init	SSN	Date of Birth	Status
JONES	TOM		500-95-8815	1967-11-12	С
JONES	HORACE			1963-03-03	0
LUNCHER	KIMM				С
MAPLE	SARA		400-50-1655		С
MAY	KIM		400-12-1551		P
MILK	LISA				P
MOON	TALL		500-95-1222	1972-01-12	0
MULLER	UNKNOWN		400-78-9956	1965-10-10	P
PINK	LISA		400-06-5225		C
PONY	KIM		409-38-3948		P
RAN	LUCY		400-03-3333		C
SMYTHE	HARVEY		500-55-1234		P
TAYLOR	ANDY		014-09-0865		0
Mcd Active Ind:	Y Mcd Last Name:	JONES			

The MCD Active Ind(icator) is a Y(es)/N(o) indicator used to identify applicants who are currently active (Y) and those who are no longer active (N) on the MCD File. The default value for this field is Y(es). When the value in this field is Y(es), active applicants are displayed. An MCD applicant can be active for up to six months. When the value in this field is N(o), deactivated applicants are displayed. Applicants who have supplied missing MBR information will have been entered on MA21 and will be displayed on the inactive browse for up to six months. Please Note: The name search on the Browse MCD Applicants by Name is based on the **Last Name only**.

The first Browse MCD Applicants by name screen displays the following information for each person applying as the Head of Household.

Field	Description
Last Name	Displays the applicant's last name. If the last name is missing on the MBR, the display is UNKNOWN.
First Name	Displays the applicant's first name. If the first name is missing on the MBR, the display is UNKNOWN.
Init	Displays the applicant's middle initial, if applicable.
SSN	Displays the applicant's Social Security Number, if provided.

Browse MCD Applicants by Name Screen One (continued)

Field	Description
Date of Birth	Displays the applicant's birth date, if provided.
Status	Displays the applicant's missing critical data status. Values are:
	O = Open. Missing critical data has been entered into the MCD File and the MCD1 notice has been sent to the applicant. The MBR is on file at the CPU waiting for the applicant's response. Refer to the Status Reason field on the second Browse MCD Applicants by Name screen.
	C = Closed. The applicant's MCD File has been closed. The applicant remains active on the MCD File for six months. Refer to the Status Reason field on the second Browse MCD Applicants by Name screen.
	P = Pending. The applicant's name and/or address is illegible or missing on the original MBR. No notices are sent. The original MBR is filed and held at the CPU. The applicant remains active on the MCD File for six months. If there is no response from the applicant within the six-month period, the applicant's status will be changed to Closed. Refer to the Status Reason field on the second Browse MCD Applicants by Name screen.

To move to the second Browse MCD Applicants by Name screen, press PF11. The second MCD browse screen, which follows is displayed.

Browse MCD Applicants by Name Screen Two

1 more	- Browse M	ICD Applicant	s by Name -	11:54 AM
Status	Status	Received		
Reason	Date	Date	City	State
No Response	2002-01-04	2001-12-20	WEBSTER	MA
Initial Entry	2002-01-09			MA
No Response	2001-12-18	2001-10-10	BOSTON	PI
No Response	2001-12-14	2001-10-10	WOBURN	MA
Initial Entry	2001-09-19	2001-09-09	BOSTON	MA
Initial Entry	2001-12-03	2001-10-10	BOSTON	ST
Awaiting Response	2002-01-03	2001-12-31	OXFORD	MA
Initial Entry	2001-11-09	2001-11-01	BOSTON	MA
No Response	2001-12-14	2001-10-10	WOBURN	MA
Initial Entry	2001-12-05	2001-11-11	BOSTON	MA
No Response	2001-12-26	2001-10-10	BOSTON	MA
Initial Entry	2002-01-08	2002-01-08	BOSTON	MA
Awaiting Response	2001-12-31	2001-10-20	BOSTON	MA
Mcd Active Ind: Y M	icd Last Name:	JONES		

The second Browse MCD Applicants by name screen displays the following information for each Head of Household applicant.

nead of Household applicant.		
Field	Description	

Status Reason

Explanation of the applicant's status. Valid values are:

Initial Entry =

Used with Open status when initially entered on the MCD File

Also used with Pending status when there is an unknown name and/or address. In this situation, an MCD1 notice cannot be sent. MBR is on file at the CPU. Applicant information is displayed on the active MCD name browse for six months, or until missing information is received and entered on MA21.

Awaiting Response =

MCD1 sent. Used with Open status. Applicant information is displayed on the active MCD name browse for six months, or until missing information is received and entered on MA21.

Browse MCD Applicants by Name Screen Two (continued)

Field	Description	
Status Reason (cont.)	No Response =	MCD2 notice sent. Original MBR returned to applicant. Copy of original MBR is on file at the Central Filing Unit. Used with Closed status. Applicant information is displayed on the active MCD name browse for six months.
	Received Information =	Applicant supplied missing information. Used with Closed status. Original MBR updated with missing information and entered on MA21. Applicant information is displayed on the not active MCD name browse.
	Withdrawn =	Applicant has voluntarily withdrawn request for MassHealth. Used with Closed status. MBR is on file at the Central Filing Unit. Applicant information is displayed on the active MCD name browse for six months.
	Unable to Deliver =	Mail returned to CPU as undeliverable. Used with Closed status. MBR is on file at the Central Filing Unit. Applicant information is displayed on the active MCD name browse for six months.
	No Information =	Used to close a MCD in Pending status. Status becomes Closed and the applicant information is no longer displayed on the active MCD name browse.

Browse MCD Applicants by Name Screen Two (continued)

Field	Description
Status Date	Date associated with the applicant's current Status
Received Date	Date MBR received at CPU
City	Applicant's city of residence
State	Applicant's state of residence. The default value is MA.

Viewing an Applicant's Missing Critical Data

The details of an applicant's missing data can be viewed from the Missing Critical Data Imcomplete MBR Screen. If the applicant was located by SSN, the incomplete MBR Screen will be displayed. See "Incomplete MBR Screen" below. If the applicant was located by name browse, the User Options menu is displayed. See the following.

Select the Missing Critical Data Query by entering an X to the left of the query option. Press ENTER to display the Missing Critical Data, Incomplete MBR screen. See below.

Incomplete MBR Screen

```
No action performed

MCDPD001 ***** Missing Critical Data **** MCDMD001

Jan 09 02 - Incomplete MRR - 01:13 PM
                            - Incomplete MBR -
 Jan 09,02
                                                                    01:13 PM
 Assign to....: DMAABC MEC..: 520 Posted Date.: 01 09 2002
 Referred by..:
 *Screener ID.:: DMAXYZ_____ Receive Date: 01 09 2002 Status.....: Open Initial Entry Status Date:: 01 09 2002
 Name..... JONES, HORACE
                                                  SSN....: 000 00 0000
 Addr - Street: 456 SOUTH ST
       City..: BOSTON *St: MA
Zip...: 02111 Mail.: + DOB.....: 03 03 1963
                                         Phone - Home: ____ ____
 *Lang - Speak.: ____
 Contact Person....: +
 * ----- MCD Notice Dates ----- * Missing Critical Data: > 1st: 2nd:
Enter-PF1---PF3---PF3---PF5---PF6---PF7---PF8---PF9---PF10--PF11--PF12---
    help retrn quit
```

The following descriptions of the Incomplete MBR screen fields are displayed from left to right.

Viewing an Applicant's Missing Critical Data Incomplete MBR Screen (continued)

Field	Description
Assign To MEC	The DMA ID of the CPU worker entering the missing data. The CPU ID where the worker entering the missing data is
	assigned.
Posted Date	Date information entered into the MCD program.
Referred by	Identifier of the provider or organization submitting the MBR.
Screener ID	DMA ID of CPU worker who reviewed the MBR information.
Receive Date	Date original MBR received.
Status	System generated value: Valid values are: O = Open
	C = Closed
	P = Pending
	Note: Detailed descriptions are included in the Status field of the Browse MCD Applicants by Name, Screen One.
(Status Reason)	System-generated value that provides a further description
	of the applicant's status. Valid values are: Initial Entry
	Awaiting Response
	No Response
	Received Info
	Withdrawn
	Unable to Deliver
	No Info
	Note: Detailed descriptions of status reasons are included
	in the Reason field of the Browse MCD Applicants by
	Name, Screen Two.
Status Date	System-generated date indicating the date on which the displayed status took effect.
Name	Applicant's name, if provided, in last name(comma)first name format. If the name is not legible or not provided, the display is UNKNOWN.
SSN	Applicant's social security number, if provided.

Viewing an Applicant's Missing Critical Data Incomplete MBR Screen (continued)

Field	Description
Addr(ess)	
Street	Applicant's residential street address, if provided.
City	City where the applicant lives. An entry in this field is mandatory unless the entry in the St(ate) field is blank.
St(ate)	Code for the state where the applicant lives. The default value is MA. This field will be blank if the city of residence is illegible or missing.
Zip	Applicant's zip code. Entry of a valid zip code is mandatory unless the St(ate) field is blank.
Mail	If the applicant includes a mailing address, a caret (>) is displayed in this field. To view the mailing address, type over the caret with an X and press ENTER. The mailing address information will be displayed. Press PF2 to return to the Incomplete MBR query screen.
DOB	Applicant's date of birth, if provided.
Lang – Speak	Applicant's preferred spoken language as indicated on the MBR. The default value is ENG(lish).
Phone - Home	The applicant's home telephone number in area code, exchange, number format, if provided.
Work	The applicant's work telephone number in area code, exchange, number format, if applicable.
Contact Person	If the applicant includes a contact person (who also receives the MCD notices) a caret (>) is displayed in this field. To view contact person information, type over the caret with an X and press ENTER. The contact person information will be displayed. Press PF2 to return to the
Missing Critical Data	Incomplete MBR query screen. a If the applicant's MBR is missing critical data and/or responses to MBR "trigger" questions, a caret (>) is displayed in this field. To view a description of the missing data, type over the caret with an X and press ENTER. The MCD Missing Information screen is displayed. An explanation of the MCD Missing Information screen is on page 12.
MCD Notice Dates	Date the 1 st notice, MCD1, was sent to applicant, if
1	applicable.
$2^{\rm nd}$	Date the 2 nd notice, MCD2, was sent to applicant, if applicable.

Viewing Detailed Missing Information

When an applicant's Incomplete MBR Screen has a caret (>) in the Missing Critical Data field, type over the caret with an X to display the MCD Missing Information Screen. The MCD Missing Information Screen, shown below, displays detailed information about what needs to be supplied to process this applicant's MBR.

MCD Missing Information Screen

escription of Missing Information	HH Other Family Member(s)
ame	
ddress	
ate of Birth	=
ender	-
elationship to Head of Household	
ross Earned Income (Wkly Pay & Hrs) X
ross Earned Income (Past 12 Mths)	
ross Non-Working Income (Mthly)	, — ———————————————————————————————————
ental Income & Business Exp.(Mthly)
issing Answers to Question(s): >	
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	
Comments:	

Following is a description of the fields on the MCD Missing Information screen.

Description
Contains a listing of the data elements that are missing on the original MBR.
Head of Household. If a data element is missing for the head of household, an X is displayed in this field. In the above example, the head of household's self-declared gross earned income amount is missing.
If a missing data element applies to a member of the applicant's household, the name(s) of the household member(s) is displayed.

## MCD Missing Information Screen (continued)

Field	Description
Missing Answers to Question(s)	If the applicant failed to respond to an MBR "trigger question" this field displays a caret (>). To view the missing response(s), type over the caret with an X and then press ENTER. The MCD Missing Questions screen is displayed. Refer to the MCD Missing Questions screen on page 14.
Comments	Three lines are provided for comments needed to clarify identified elements.

Note: PF1 help is available for help in entering information on this screen.

To return to the Incomplete MBR screen, press PF2.

### **Viewing Detailed Missing Information**

### **MCD Missing Questions Screen**

When an applicant does not respond to critical MBR "trigger" questions, the detailed missing information is recorded on the MCD Missing Questions screen. This screen is viewed from the MCD Missing Information Screen by typing over the caret in the Missing Answers to Question(s) field with an X. See the following screen along with descriptions of each field.

+	ng Questions
Description	HH Other Family Member(s)
Person Applying Question  U.S. Citizen Question  Working Question  Not Working Question  College Student Question  College Health Insurance Question  Potential Disability Question  Accident or Injury Question  Absent Parent Question	Mary
PF1=Help PF2=return	i +

Field	Description
Description	Contains a listing of MBR "trigger" questions.
НН	Head of Household. If a question is not answered by the head of household, an X is displayed in this field. In the above example, the head of household who is applying did not respond to the MBR "U.S. Citizen?" question.
Other Family Members	If a missing question response applies to a member of the applicant's household, the name(s) of the household member(s) is displayed. In the above example, household member, Mary, did not respond to the MBR "Not working" question.

To return to the Incomplete MBR screen, press PF2 twice.

### **Viewing Applicant Notices**

To view the applicant notices, go to the applicant's Incomplete MBR screen. See below.

```
MCDPD001
                      ***** Missing Critical Data *****
                                                                MCDMD001
  Jan 22,02
                              - Incomplete MBR -
 Assign to....: DMAA40
                         MEC..: 520
                                              Posted Date.: 12 10 2001
 Referred by..: DYS12093701
 Referred by..: DYS12093701
*Screener ID..: DMAA40_____ Receive Date: 10 01 2001
Status.....: Closed No Response Status Date.: 12 26 2001
 *Screener ID..: DMAA40
 Name..... WATER, KIM L
                                               SSN..... 400 88 9955
 Addr - Street: 3 WINTER ST_____
       City..: BOSTON *St: MA
Zip...: 02115 Mail.: +
                                               DOB..... __ __ __
                                               Phone - Home: ____ __
 *Lang - Speak.:
                                                      Work: __
                          Contact Person....: +
 Missing Critical Data: >
                               1st: 12 10 2001 2nd: 12 26 2001
Enter-PF1---PF3---PF3---PF4---PF5---PF6---PF7---PF8---PF9---PF10--PF11--PF12---
    help retrn quit confm ntce Clse
```

Press PF6 (ntce) to display the Missing Critical Data Notice screen for the selected applicant. See the following.

Enter  $\mathbf{D}$ (isplay) in the  $\mathbf{A}$ (ction) field and then press ENTER to display a notice. To print a notice, enter  $\mathbf{O}$ (nline) in the  $\mathbf{A}$  (ction) field to have a copy of the notice printed.